

(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name "东方证券股份有限公司" and carrying on business in Hong Kong as"東方證券" (in Chinese) and "DFZQ"(in English))

(於中華人民共和國註冊成立的股份有限公司,中文公司名稱為「东方证券股份有限公司」,在香港以「東方證券」(中文)及「DFZQ」(英文)開展業務))

(Stock Code 股份代號: 03958)

March 19, 2024

Dear registered shareholder(s),

## **Arrangement of Electronic Dissemination of Corporate Communications**

Pursuant to Rule 2.07A of the Rules Governing The Listing of Securities on The Stock Exchange of Hong Kong Limited (the "Listing Rules") under the expansion of paperless listing regime and electronic dissemination of corporate communications that came into effect on 31 December 2023, 東方證券股份有限公司 (the "Company") is writing to inform you that the Company has adopted electronic dissemination of corporate communications (the "Corporate Communications"), which mean any documents issued or to be issued by the Company for the information or action of holders of any of its securities, including but not limited to (a) the directors' report, its annual accounts together with a copy of the auditors' report and, where applicable, its summary financial report; (b) the interim report and, where applicable, its summary interim report; (c) a notice of meeting; (d) a listing document; (e) a circular; and (f) a proxy form.

Please note that both the English and Chinese versions of all future Corporate Communications will be available electronically on the website of the Company at <a href="http://www.dfzq.com.cn">http://www.dfzq.com.cn</a> and the HKExnews website at <a href="http://www.hkexnews.hk">www.hkexnews.hk</a> in place of printed copies.

Solicitation of electronic contact details

To ensure timely receipt of the Actionable Corporate Communications<sup>(Note)</sup>, the Company recommends you provide your email address by scanning your personalized QR code printed on the enclosed reply form (the "**Reply Form**"). Alternatively, you may complete, sign and return the Reply Form to the Company's H share registrar (the "**H Share Registrar**"), Computershare Hong Kong Investor Services Limited, at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong.

If the Company does not receive a functional email address in your reply, until such time that the functional email address is provided to the H Share Registrar, the Company will send the Actionable Corporate Communications (Note) in printed form in the future.

If you want to receive the Corporate Communications in printed form, please complete and return the Reply Form on the reverse side to the H Share Registrar or send an email to <a href="mailto:dfzq.ecom@computershare.com.hk">dfzq.ecom@computershare.com.hk</a> specifying your name, address and request to receive the Corporate Communications in printed form. Please note that such instruction shall be valid for one year unless being revoked or superseded (whichever is earlier) starting from the receipt date of your instruction and will expire thereafter.

Details of the arrangements (i) for dissemination of Corporate Communications; and (ii) for requesting printed copies of Corporate Communications are published under the section headed "Investor Relations" on the Company's website (<a href="http://www.dfzq.com.cn">http://www.dfzq.com.cn</a>) and the HKExnews website (<a href="http://www.hkexnews.hk">www.hkexnews.hk</a>). Should you have any queries relating to this letter, please contact the H Share Registrar at (852) 2862 8688 during business hours from 9:00 a.m. to 6:00 p.m. (Hong Kong time), Mondays to Fridays, excluding Hong Kong public holidays.

By order of the Board of Directors

JIN Wenzhong

Chairman

Note: Actionable Corporate Communication is any corporate communication that seeks instructions from issuer's securities holders on how they wish to exercise their rights or make an election as the issuer's securities holder.

各位登記股東:

## 以電子方式發布公司通訊之安排

根據自 2023 年 12 月 31 日起生效的擴大無紙化上市機制及以電子方式發布公司通訊規定下香港聯合交易所有限公司證券上市規則(「**上市規則**」)第 2.07A條,東方證券股份有限公司(「**公司**」)謹此通知 閣下,公司已採用以電子方式發布公司通訊(「**公司通訊**」)之安排,該公司通訊是指公司已發布或將要發布以供其任何證券的持有人參照或採取行動的任何文件,包括但不限於(a)董事報告、年度帳目以及審計報告副本以及(如適用)財務摘要報告;(b)中期報告及其中期報告摘要(如適用);(c)會議通知;(d)上市文件;(e)通函;和(f)委任代表表格。

請注意,所有未來公司通訊的英文版和中文版將在公司網站 http://www.dfzq.com.cn 和披露易網站 www.hkexnews.hk 上提供,以代替印刷本。

## 徵集電子聯絡資料

為確保及時收到可供採取行動的公司通訊<sup>(網注)</sup>,公司建議 閣下透過掃描本函背頁之回條(「**回條**」)上列印的 閣下專屬二維碼來提供 閣下的電子郵件地址。或者, 閣下也可以填妥、簽署回條並交回公司的 H 股股份登記處(「**H 股股份登記處**」)香港中央證券登記有限公司,地址為香港灣仔皇后大道東 183 號合和中心 17M 樓。

如果公司沒有收到 閣下的有效電子郵件地址,直至 H 股股份登記處收到 閣下有效的電子郵件地址前,本公司未來將以印刷本形式發送可供採取行動的公司通訊 (MEC)。

若 閣下希望收取公司通訊之印刷版,請填妥本函背頁之回條並交回 H 股股份登記處,或發送電子郵件至 dfzq.ecom@computershare.com.hk, 並註明 閣下的姓名、地址以及收取公司通訊印刷版的要求。 請注意,收取未來公司通訊印刷版之指示由收悉 閣下指示當日起計一年內有效,除非被撤銷或 取代(以較早者為準),此後將過期。

有關(i) 發布公司通訊及(ii) 要求收取公司通訊之印刷版的安排已在公司網站(http://www.dfzq.com.cn)「投資者關係」一欄下和披露易網站(www.hkexnews.hk)上發布。如 閣下對本函件有任何疑問,請於辦公時間星期一至五(香港公眾假期除外)上午9時正至下午6時正(香港時間)期間致電 H 股股份登記 (852) 2862 8688 查詢。

承董事會命 *董事長* **金文忠** 

2024年3月19日

REPLY FORM		
To: Computershare Hong Kong Investor Services Lim (The "H Share Registrar") 17M Floor, Hopewell Centre 183 Queen's Road East, Wanchai, Hong Kong (Please choose ONLY ONE of the options below) (請從以下選項中只選擇其中一項)		香港中央證券登記有限公司 (「H 股股份登記處」) 香港灣仔皇后大道東 183 號 合和中心 17M 樓
your personalized QR code 選項1: 掃瞄 閣下專屬二維碼提供 縣 發布的未來可供採取行動的公	Company via electronic dissemi 場下之電子郵件地址,以接收公 司通訊 <sup>(M註 3)</sup> urn this Reply Form if you cho	ination by scanning 司通過電子方式
company (the "Company") via electronic d	issemination	e Corporate Communications $^{(Note\ 3)}$ of the following listed 」)通過電子方式發布的未來可供採取行動的公司通訊 $^{/\!\!\!/\!\!\!/\!\!\!\!/}$ $_{ m Y}$ 上市公司名稱:
	東方證券股份存	可限公司
Email address 電郵地址: (Note 3 / 附註 3)		
revoked or superseded (whichever is earlier) starting from (Please mark "√" in the below box if applicable)  選項3: 本人/吾等現要求收取公司通訊 印刷版 ・並已知 (如適用・請在以下方格内劃上「✓」號)  Printed English Version 英文印刷本	the receipt date of the instruction and will ex	that this instruction shall be valid for one year unless being pire thereafter. (Note 5)  ·除非被撤銷或取代(以較早者為準)·此後將過期。 (附註5)  Printed English and Chinese Version 英、中文印刷本
Signature(s): (Note 1) 簽名 · (附註 1)	Contact number: 聯络雷話號碼·	Date: 日期:

PERSONAL INFORMATION COLLECTION STATEMENT 收集個人資料聲明

SONAL INFORMATION COLLECTION STATEMENT

(Personal Data" in this statement has the same meaning as "personal data" in the Personal Data (Privacy) Ordinance, Chapter 486 of the Laws of Hong Kong ("PDPO").

本聲明中所指的「個人資料」與香港法例第 486章(個人資料(私隱)條例)(「《私隱條例)」)中「個人資料」的涵養相同。

Your Personal Data provided in this Reply Form will be used in connection with, including but not limited to, the Company's electronic dissemination of Corporate Communications and to liaise with you on other matters relating to your holdings in the Company, Your supply of Personal Data to the Company's basis. In case of a failure to provide sufficient information, the Company may not be able to process your instruction and/or request as stated in this Reply Form.

图下於本Dek所提供的创入資料解用於(包括但不限於)有關公司以電子方式發布公司通訊及就 閣下持有的公司證券有關的其他事宜上與 閣下聯絡。 閣下是自願向公司提供個人資料。若 閣下未能提供足夠資料。公司可能無法課理 閣下在本回條上所述的指示及或要求。

Your Personal Data may be disclosed or transferred by the Company to its subsidiaries, the H Share Registrar, and/or other companies or bodies for any of the stated purposes, or when it is required to do so by law and will be retained for such period as may be necessary for our verification and record purposes.

公司可就任何所說明的用除或成任的预度设置的情况下,第、閣下的個人資料投資或轉換整公司的附屬公司、H股股份登記處、及或其他公司或團體、並將在適當期間保留該等個人資料作核實及紀錄用途。

You have the right to request access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data is not privacy Officer(ecomputershare com his.

\*\*RF和根据\*\*(私傳報》)的條文查閱及《修文》、簡子的個人資料。任何該轉賣阅入或修改個人資料的要求均須以書面方式郵寄至 H 股股份登記處(地址為香港灣仔皇后大道東 183 號合和中心 17M 楼)向香港隱在是一次的類的學用的。

\*\*Accessed to a contraction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data in accordanc

Notes 附註:

1. Please complete all your details clearly. If your shares are held in joint names, all of the joint shareholders should jointly sign this Reply Form in order to be valid. 請清楚填妥 圈下之所有資料。如屬聯名般東。則本回條海由所有聯名股東聯合簽署。方為有效。

2. Any Reply Form with no signature or otherwise incorrectly completed will be void. 任何回條若未有簽署或在其他方面填寫不正確,則本回條將會作廢。

3. If the Company does not receive a functional email address in your reply, the Company will send the Actionable Corporate Communication in printed form in the future. Actionable Corporate Communication is any corporate communication that seeks instructions from issuer's securities holders on how they wish to exercise their rights or make an election as the Company's securities holder. 如公司资资收到 個下的有效電子郵件地址、本公司第以即向外面通訊。可供採取行動的公司通訊指任何涉及要求公司的證券持有人指示其提如同行使其有關證券持有人的權利或作出選擇的公司通訊。

4. If you provide more than one email address by QR code, email, reply form and/or other means, only the latest one email address provided will be registered. 如 個下在選回。方格內劃上「✓」號,將不會有電子郵件地址被登記、只有公司通訊的印刷版會被收取。

5. If you mark 'V' in the box in Option 3, no email address will be registered and only Corporate Communications's in printed form will be received. 如 個下在選回3方格內劃上「✓」號,將不會有電子郵件地址被登記、只有公司通訊的印刷版會被收取。

4. Unless otherwise specified, Corporate Communications refer to any documents issued or to be issued by the Commany for the information or action of holders of one of the securities including but not limited to (a) the

- (iv)

Mailing Label 郵寄標籤

Computershare Hong Kong Investor Services Limited 香港中央證券登記有限公司 Freepost No. 簡便回郵號碼:37 Hong Kong 香港

Please cut the mailing label and stick it on an envelope to return this form to us. No postage is necessary if posted in Hong Kong

> 閣下寄回此回條時,請將郵寄標籤剪貼於信封上。 如在本港投寄 · 閣下無需支付郵費或貼上郵票